



# Introduction to IC3 & IC3CFA

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# Outline

Inductive Invariants

Finite State Inductive Strengthening

IC3

IC3 on Control Flow Automata

# Inductive Invariants

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## Inductivity

A property  $P$  is inductive if it satisfies initiation and consecution:

$$\text{Initiation: } I \Rightarrow P$$

$$\text{Consecution: } P \wedge T \Rightarrow P'$$

## Inductive invariant

[MP95]

Given a property  $P$  of a system, if  $P$  is inductive on  $S$  it is an invariant on  $S$ .

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[MP95] Zohar Manna and Amir Pnueli. *Temporal verification of reactive systems - safety*. Springer, 1995

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## Not vice versa

Even if  $P$  is an invariant on  $S$ , it may not be inductive.

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# Finite State Inductive Strengthening

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## Proving a property $P$ on a system $S$

Proving that  $P$  holds on  $S$  in general is hard. But if  $P$  would be inductive, it would be trivial. If we only had a way to make  $P$  inductive ...

## Inductive Strengthening

[BM07]

Try to find a formula  $F$  that is an inductive strengthening of  $P$ , i.e.

$$\text{Initiation: } I \Rightarrow P \wedge F$$

$$\text{Consecution: } P \wedge F \wedge T \Rightarrow P' \wedge F'$$

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[BM07] Aaron R. Bradley and Zohar Manna. “Checking Safety by Inductive Generalization of Counterexamples to Induction”. In: *FMCAD*. 2007, pp. 173–180

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# Finite State Inductive Strengthening

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## The bad guys

An  $F$ -state that has a transition to a  $\neg F$ -state is called *Counterexample to Induction* (CTI) and is a direct witness for why  $F$  is not inductive.

## Finding CTIs

A CTI can be found using a simple satisfiability query

$$\text{sat}(F \wedge T \wedge \neg F).$$

If the query is sat, there exists a CTI and we can extract the state from the model of the solver (satisfying variable assignment).

# Finite State Inductive Strengthening

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## Handling CTIs

After finding a CTI  $s$  we check whether there exists a *minimal inductive subclause* for  $\neg s$ . If not, we update  $P$  that we have to prove that  $s$  is not reachable. Otherwise we can add  $\neg s$  to the strengthening  $F$ .

# Finite State Inductive Strengthening

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## Happy End?

FSIS terminates if either

- $P \wedge F$  becomes inductive, or
- $I \not\Rightarrow P$  anymore.



## Finding $F$ is hard

In many cases it can be pretty hard to come up with such a strengthening. Especially finding a minimal inductive subclause  $e$  that is inductive relative to  $P \wedge F$  is hard.

## Solution

[Bra11]

Instead of computing  $F$  directly, compute a sequence  $F_0, \dots, F_k$ , called frames, to find an inductive strengthening within these  $F_i$ .

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[Bra11] Aaron R. Bradley. “SAT-Based Model Checking without Unrolling”. In: *VMCAI*. 2011, pp. 70–87

## Frames

For a frame sequence  $F_0, \dots, F_k$  to be an inductive strengthening, the following must hold:

$$I \Rightarrow F_0 \tag{1}$$

$$F_i \Rightarrow F_{i+1} \tag{2}$$

$$F_i \Rightarrow P \tag{3}$$

$$F_i \wedge T \Rightarrow F'_{i+1} \tag{4}$$

## Algorithm 1 Outer loop

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**function** bool prove

  Check 0- and 1-step counterexamples

  Initialise frames  $F_0 = I, F_1 = P$

**for** k = 1 to ... **do**

    Blocking phase

    Propagation phase

    Check termination

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## Handling CTIs

Given a CTI  $c$  in the last frame  $F_k$ , we check whether  $c$  is reachable from  $F_{k-1}$  in one step.

## Thinking inductive:

From an  $F_{k-1}$  state that is not  $c$ , do we stay in not  $c$  after one step? In other words: Is  $\neg c$  inductive relative to  $F_k$ :

$$F_k \wedge \neg c \wedge T \Rightarrow \neg c$$

## How to check validity

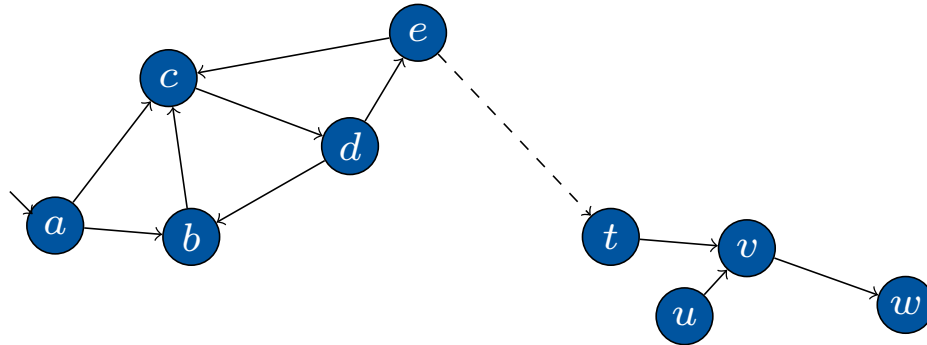
Validity of the implication can be solved as  $unsat(F_k \wedge \neg c \wedge T \wedge c)$ .

## Termination

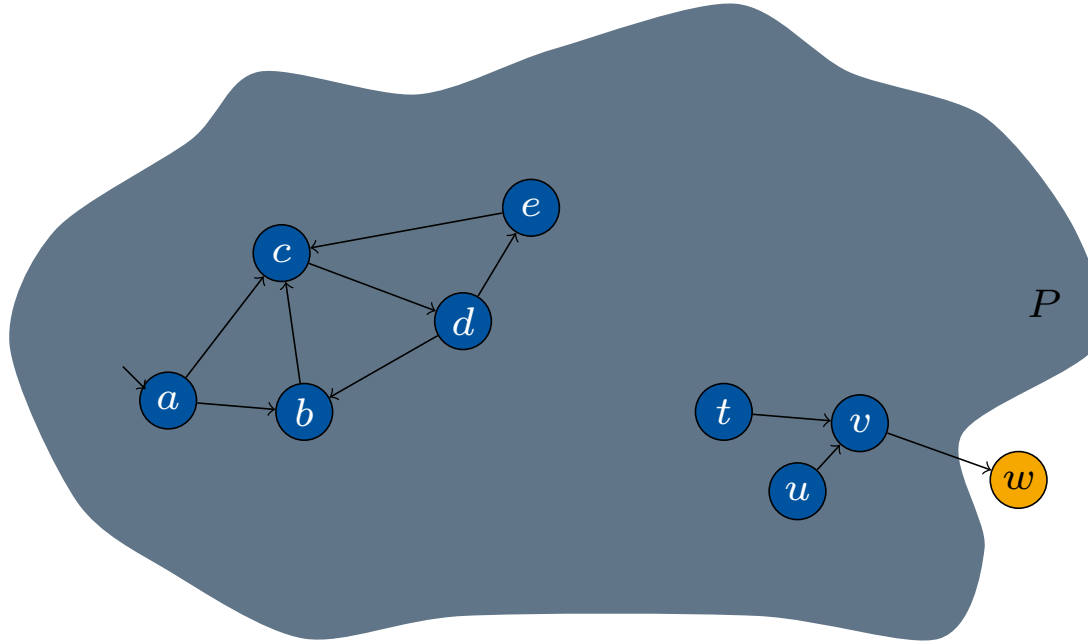
If for any frame  $F_i$ ,  $0 \leq i < k$  it holds that  $F_i = F_{i+1}$  then

$$\begin{aligned} F_i \wedge T &\Rightarrow F_{i+1} \\ \Leftrightarrow F_i \wedge T &\Rightarrow F_i \end{aligned}$$

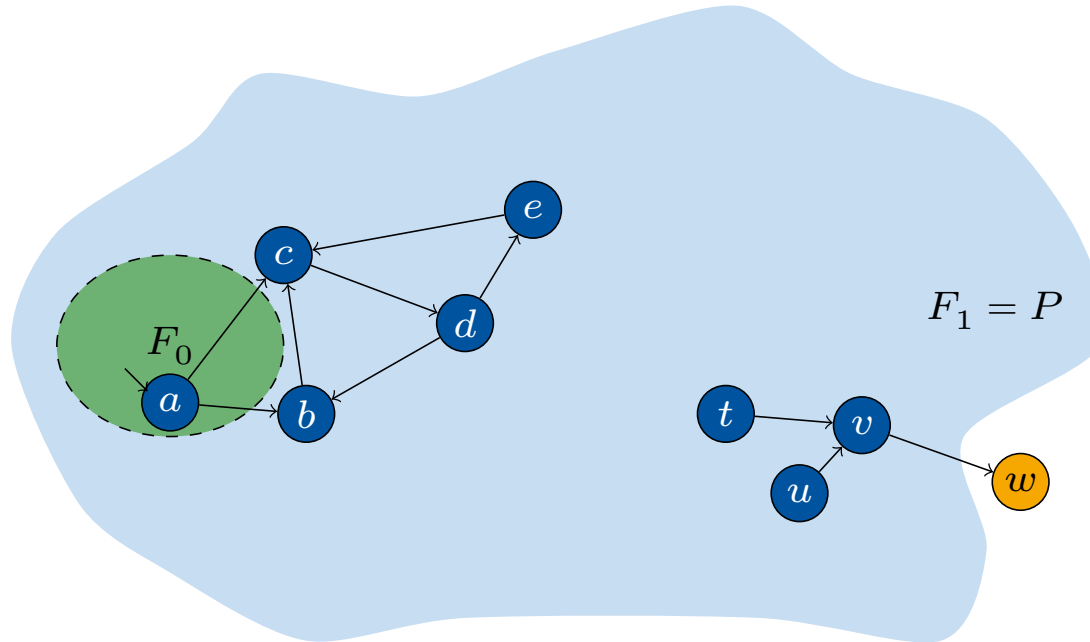
Consider the transition system  $\mathcal{M} = (X, I, T)$



Consider the transition system  $\mathcal{M} = (X, I, T)$  and the property  $P(X)$ .

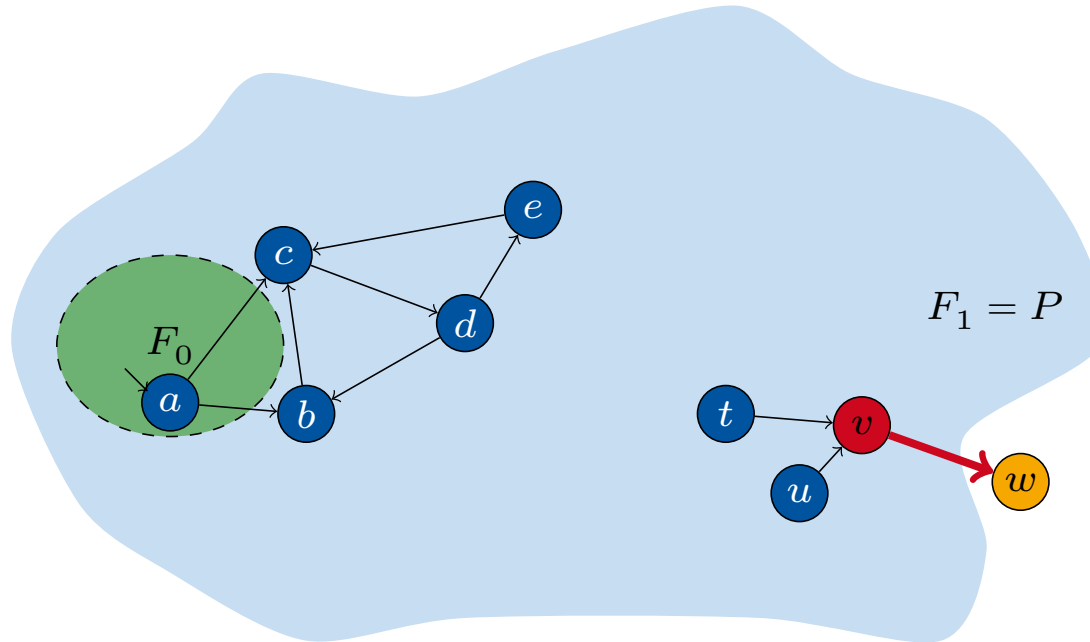


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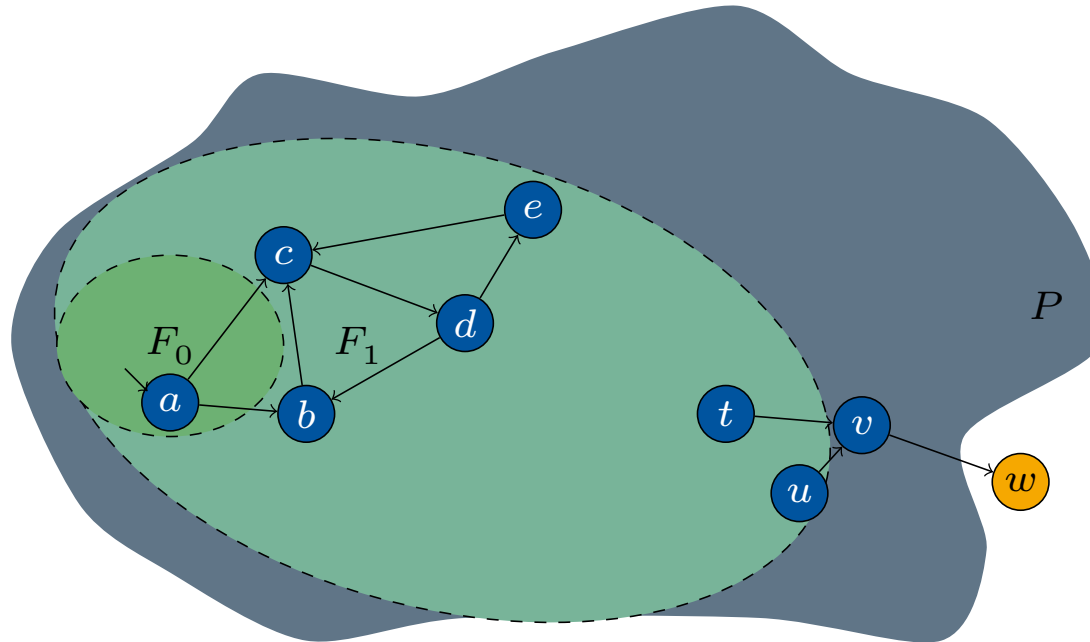




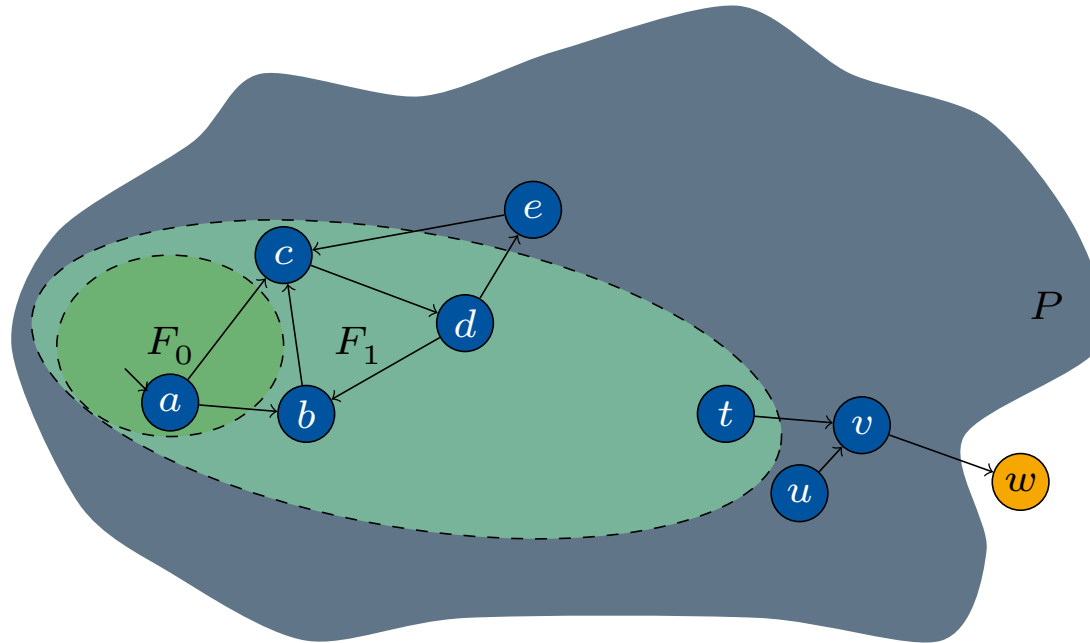
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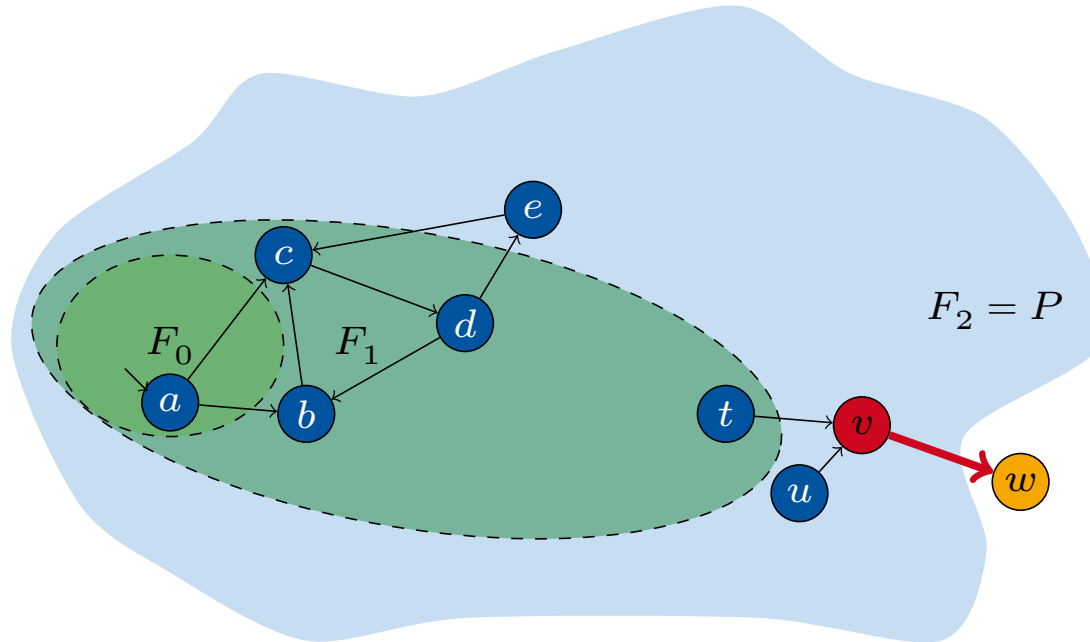
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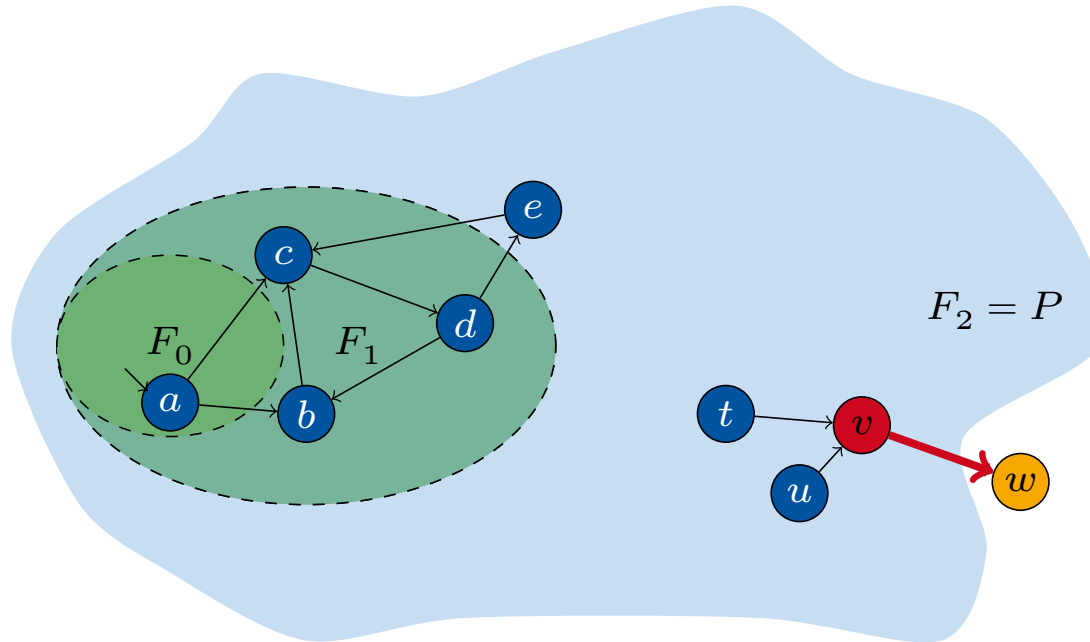
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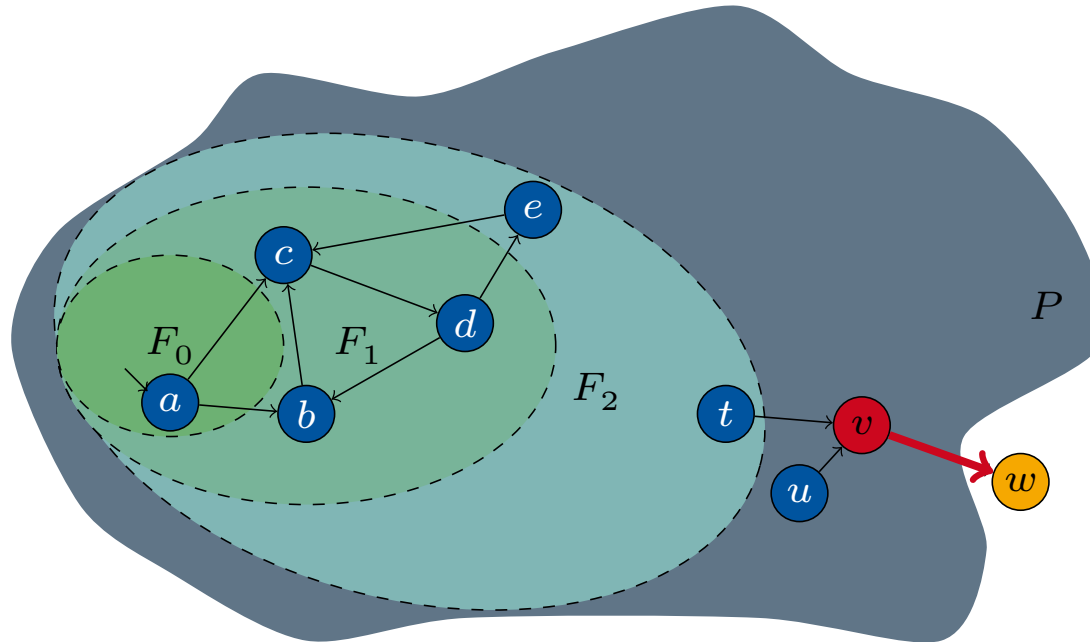
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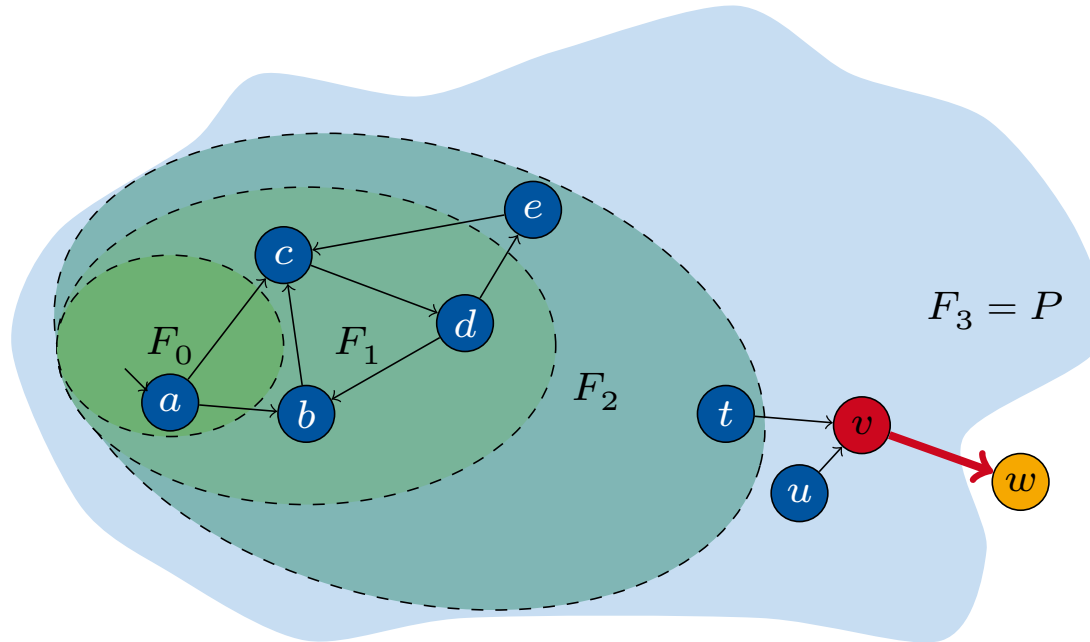
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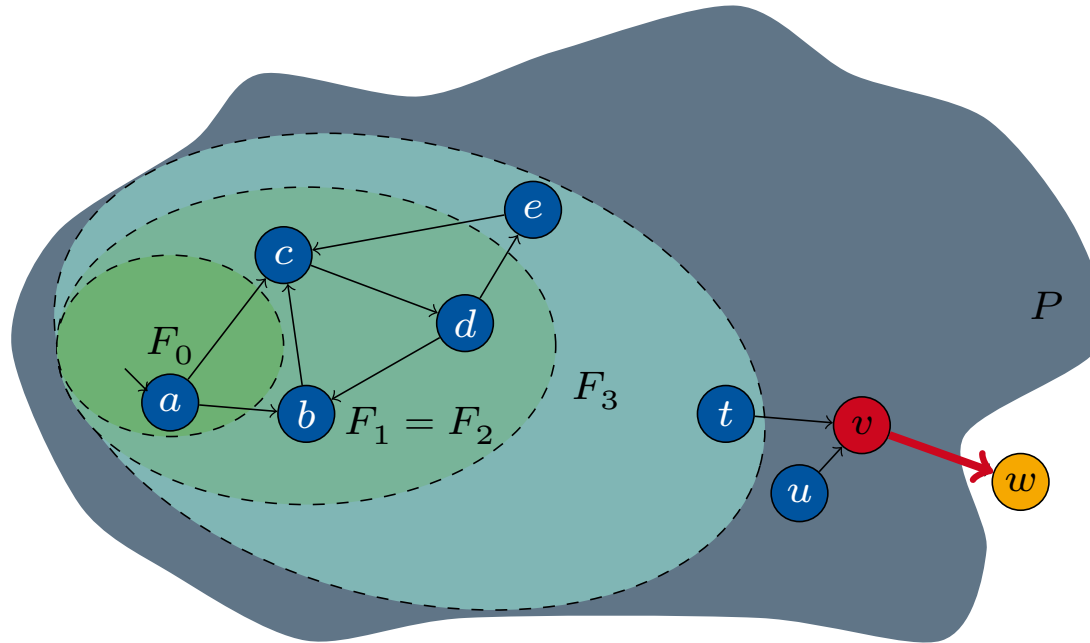
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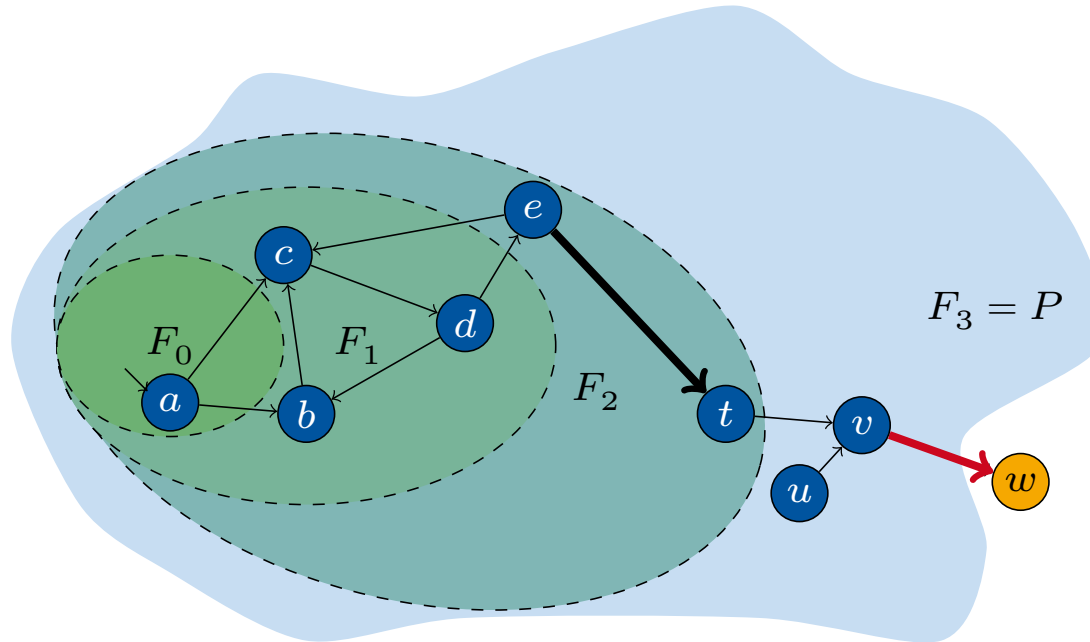


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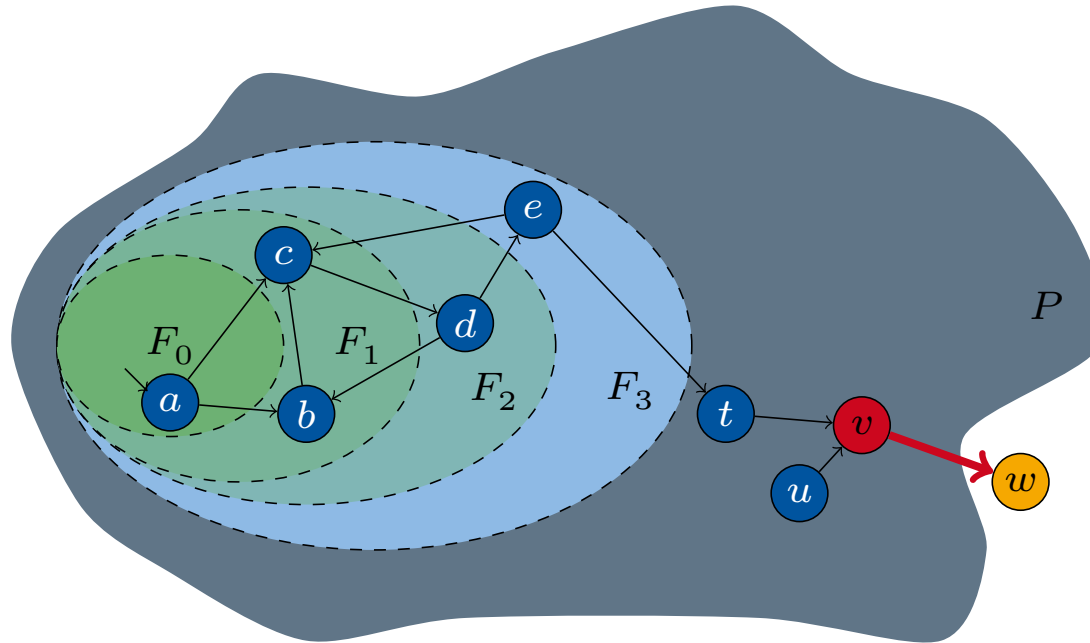




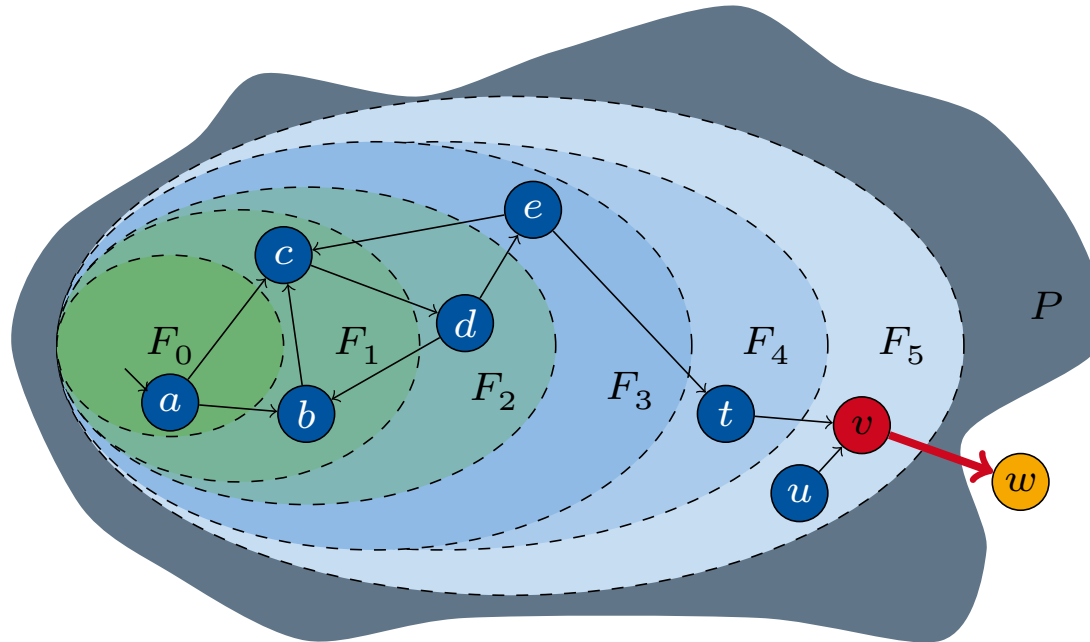
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# IC3 on Control Flow Automata

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## Motivation

### Lifting to software model checking

- IC3 had a deep impact in hardware model checking
- Showed much better performance than known techniques
- Nowadays employed in most major hardware model checking tools

### Challenges

- Domain in hardware model checking finite (bit-level)
- How to handle infinite state spaces?
- How to encode finite control flow?

# IC3 on Control Flow Automata

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## Control Flow Automaton (CFA)

A CFA  $\mathcal{A} = (L, G, l_0, l_E)$  consists of a set of *locations*  $L = \{0, \dots, n\}$  and edges in  $G \subseteq L \times QFFO \times L$  labeled with *quantifier-free* first-order formulas, an *initial location*  $l_0$ , and an *error location*  $l_E$ .

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<sup>1</sup>CG12.

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## Idea

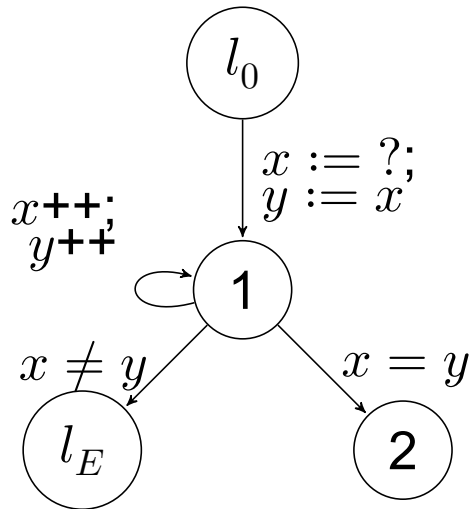
- Encoding of control flow using special  $pc$  variable *not efficient*<sup>1</sup>
- *Extraction* of control flow *advantageous*
- Instead of unrolling into ART [CG12] apply IC3 *directly on CFA*
- For *every location* in the CFA construct frames  $F_0, \dots, F_k$
- Frames represent *overapproximations* of  $i$ -step reachability *in location*
- Explicit control flow locations allow to take *only single transitions* into account

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<sup>1</sup>CG12.

# IC3 on Control Flow Automata

## Example



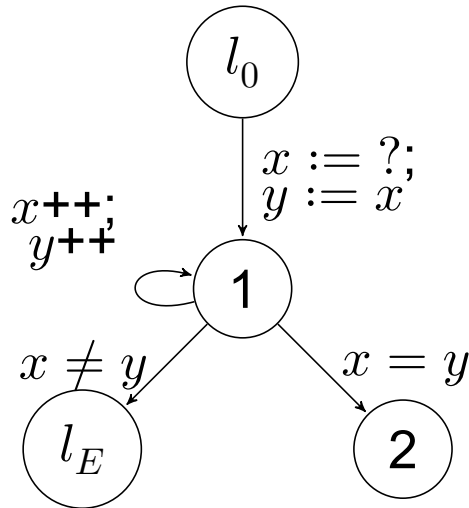
Initial location:  $l_0$

Error location:  $l_E$

Terminating location: 2

# IC3 on Control Flow Automata

## Example



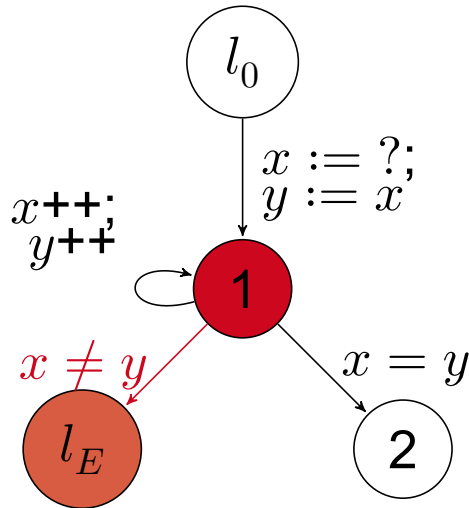
Frames  $F_{(i,l)}$

| $i:$ \ $l:$ | $l_0$ | 1     |
|-------------|-------|-------|
| 0           | true  | false |
| 1           | true  | true  |



# IC3 on Control Flow Automata

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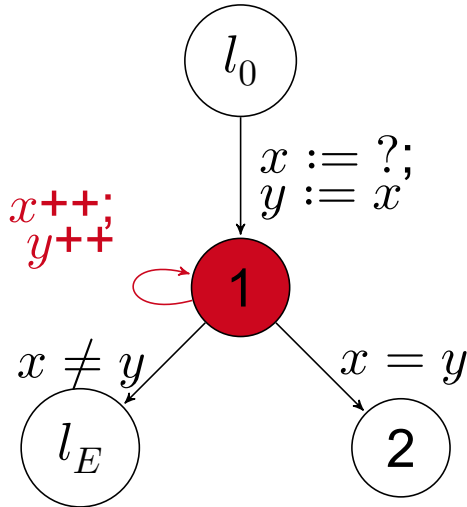
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CTI  $(1, x \neq y)$ , level 1

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## Example



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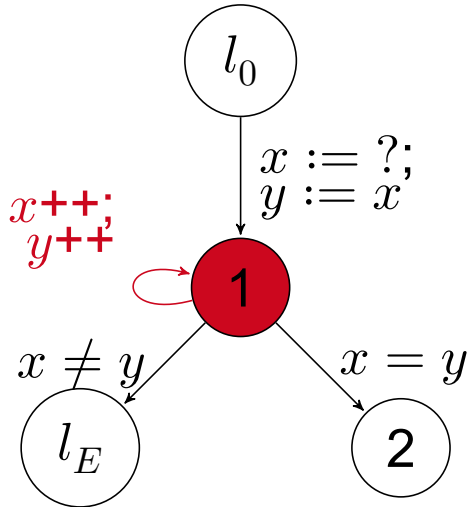
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$SAT(F_{(0,1)} \wedge \neg(x \neq y) \wedge T_{1 \rightarrow 1} \wedge x' \neq y')$

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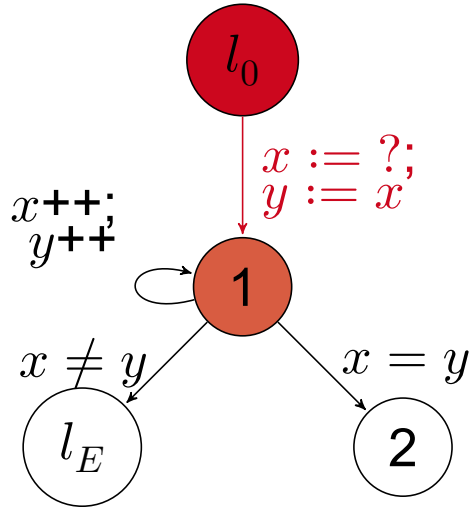
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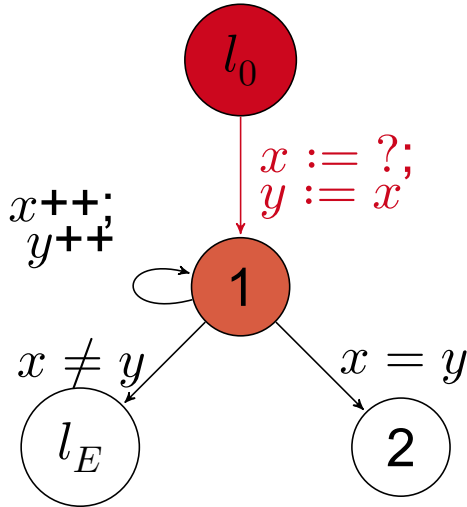
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$SAT(F_{(0,l_0)} \wedge T_{l_0 \rightarrow 1} \wedge x' \neq y')$

# IC3 on Control Flow Automata

## Example



Frames  $F_{(i,l)}$

| $l:$ | $l_0$ | 1     |
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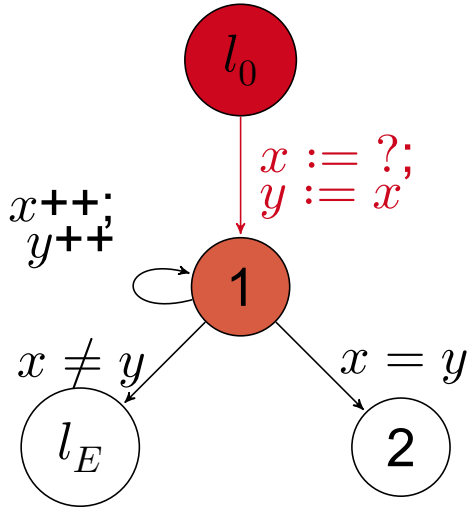
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## Example



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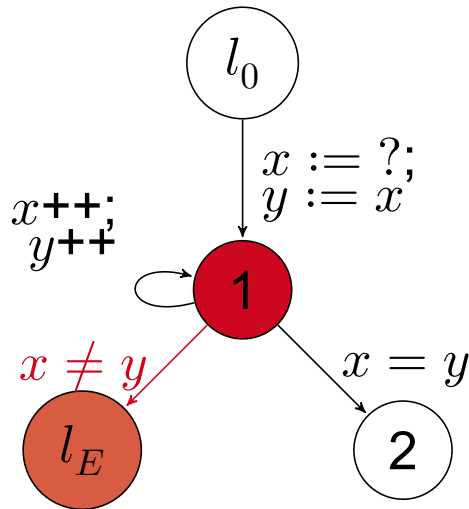
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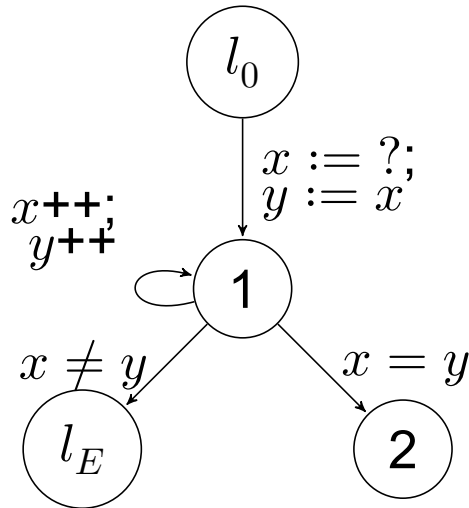


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# IC3 on Control Flow Automata

## Example



Frames  $F_{(i,l)}$





| $i \backslash l:$ | $l_0$ | 1       |
|-------------------|-------|---------|
| 0                 | true  | false   |
| 1                 | true  | $x = y$ |
| 2                 | true  | $x = y$ |



# IC3 on Control Flow Automata

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## References

-  Aaron R. Bradley and Zohar Manna. “Checking Safety by Inductive Generalization of Counterexamples to Induction”. In: *FMCAD*. 2007, pp. 173–180.
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